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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/977,016	10/12/2001	Henry Kozlowski	6726-01

CONFIRMATION NO. 2665

FORMALITIES LETTER



OC00000007139093

McCormick, Paulding & Huber
City Place II
185 Asylum Street
Hartford, CT 06103-3402

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Date Mailed: 11/29/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

SD

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231 ON THE DATE INDICATED BELOW.

Oral his
SIGNATURE OF PERSON MAILING PAPER

1/21/02
DATE OF SIGNATURE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of)
Henry Kozlowski)
for WATER TREATMENT ASSEMBLY)
Serial No.: 09/977,016)
Filed: October 12, 2001) Our Docket No: 6726-01

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Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

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RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION

SIR:

In response to the Notice to File Missing Parts of Application, dated November 29, 2001, Applicant encloses herewith the following documents:

1. Form PTO-1533 (return copy of Notice)
2. An executed Declaration and Power of Attorney

Also enclosed herewith are the following items:

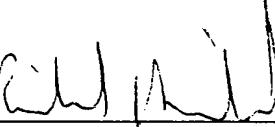
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3. A certified copy of Canadian Patent Application No. 2,323,299 which was filed on October 12, 2001 and from which priority was claimed.
4. A check in the amount of \$130.00 covering:
 - a) Surcharge of \$130.00 for late filing of Declaration.

Please charge our Deposit Account, No. 13-0235, for any remaining deficiency in payment of the fees required by the Notice to File Missing Parts of Application and this response thereto.

Respectfully submitted,

By



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Attorney for Applicant

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